

# Suspicious Activity Reports (SARs): Investigating, Decision-Making & Filing Distance Learning

Thursday, January 14, 2010 • 3:00 pm – 4:30 pm Eastern

Your member was just on the 6:00 news after being arrested for narcotics trafficking. All you can think about is the SAR investigative file on your desk – the SAR you didn't file because you were unsure.

This program will help you look at sources of "red flags" for money laundering and suspicious activity. Whether the tip is from your system, your frontline, or the local newspaper, it must be investigated and reviewed so a decision can be made about whether to file a SAR. Learn the procedures for initial SAR filing, repeated filings, working with law enforcement, and when an account must be closed. The SAR cycle, including tips, investigations, filing and SAR aftermath will be covered during this "must attend" webinar.

## HIGHLIGHTS

- Tellers, systems and media: Where does suspicious activity originate?
- Opening an investigative file
- Deciding to file a SAR
- Can examiners require credit unions to file SARs?
- Common errors in completing the SAR form
- Thresholds and SAR filings
- Time frames, repeated filings, and amending SARs
- Closing an account
- Working with law enforcement
- National Security Letters, 314a and OFAC matches
- What if your SAR is subpoenaed?
- What if law enforcement wants supporting documentation?
- Using your SAR data to change your processes

## ABOUT THE PRESENTER – Deborah L. Crawford, gettechnical inc.

Deborah Crawford is the President of gettechnical inc., a Baton Rouge-based firm, specializing in the education of financial institutions across the nation. Her 20+ years of experience began at Hibernia National Bank in New Orleans. She graduated from Louisiana State University with both her bachelor's and master's degrees. Debbie specializes in the education of financial institution employees and officers in the area of deposit account laws, new account documentation, insurance, complex compliance regulations and IRAs.

**Formats:**      \$199 per telephone or webcast connection. *Unlimited Listeners*  
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## Sign Us Up!

## Suspicious Activity Reports (SARs): Investigating, Decision-Making & Filing January 14, 2010

Credit Union \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person \_\_\_\_\_

Format Ordered

Email Address \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_



### Payment Method:

Enclosed is a share draft/check for \$ \_\_\_\_\_ payable to Tennessee Credit Union League.

A share draft/check for \$ \_\_\_\_\_ payable to Tennessee Credit Union League will follow.

I authorize Vol Corp Credit Union to debit the credit union account for \$ \_\_\_\_\_

Account #: \_\_\_\_\_ Authorized Signature: \_\_\_\_\_

(Requires prior completion of Authorization Agreement for Direct Payments [ACH Debits].)

**Distance Learning**