

BSA

It's no secret that examiners have a mandate to make BSA a main focus of their audits. It is therefore important to understand how to keep the credit union in compliance in filing Suspicious Activity Reports and Currency Transaction Reports. Credit unions are increasingly caught between stricter regulations concerning member data and increasingly broad powers of government to acquire this data. This seminar will cover the Bank Secrecy Act in detail so that attendees can use this knowledge to keep their credit unions in compliance in this important area of law.

What you will learn:

- BSA purpose and applicability
- Minimal requirements for BSA compliance
- BSA and credit unions
- Customer/ Member Identification Programs
- Customer/ Member Due Diligence.
- Situations covered by SARs
- SAR Safe Harbors
- Tips on completing a SAR
- SAR confidentiality
- Penalties for failing to comply with SAR requirements
- Situations where you must file a CTR
- CTR Safe Harbors.
- Tips on completing CTRs
- Penalties for failing to comply with CTR requirements.

Speaker: Rob Rutkowski is a partner in the Brooklyn Heights, Ohio office of Weltman, Weinberg & Reis Co., L.P.A. (WWR) and manages the firm's Credit Union department and the Corporate & Financial Services practice group.

WHEN: May 19, 2010
TIME: 11:00 am – 12:00 pm EDT

Educational Investment: \$199 per telephone connection.
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May 19, 2010

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